



清華大學  
Tsinghua University

Microsoft Research  
微软亚洲研究院

# ProFairRec: Provider Fairness-aware News Recommendation

Tao Qi<sup>1</sup>, Fangzhao Wu<sup>2</sup>, Chuhan Wu<sup>1</sup>, Peijie Sun<sup>3</sup>, Le Wu<sup>3</sup>,  
Xiting Wang<sup>2</sup>, Yongfeng Huang<sup>1</sup>, Xing Xie<sup>2</sup>

<sup>1</sup>Department of Electronic Engineering, Tsinghua University, Beijing 100084, China

<sup>2</sup>Microsoft Research Asia, Beijing 100080, China

<sup>3</sup>Hefei University of Technology, Anhui 230009, China

taoqi.qt@gmail.com

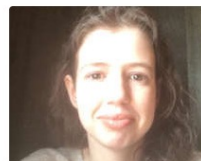
# News Recommendation

- News recommendation is important for people to obtain information



Nobody Wants To Buy Luke Brugnara's Oceanfront Mansion

Ad Moneywise



I'm a 28-year-old woman and have maple syrup urine disease. The formula...

INSIDER



TRENDING NOW >

Matthew McConaughey addresses shooting in his...

Lawmaker says Texas school shooter originally...

Gunman kills 19 children, 2 adults in Texas...

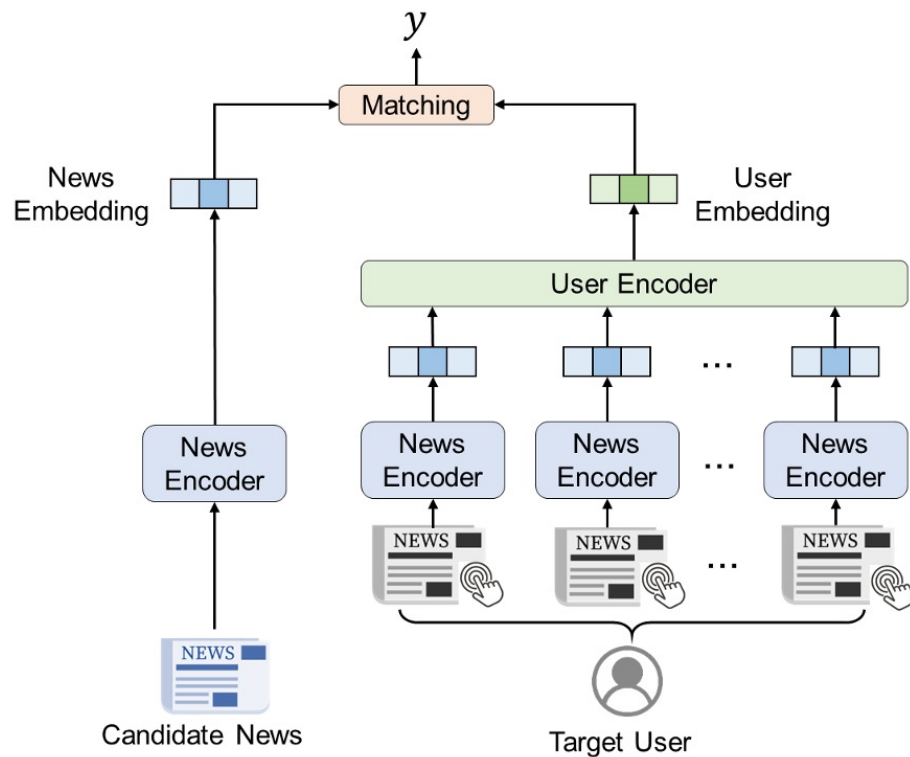
Canadian national security task force is...

Russian Forces Expand Donbas Assault, but at...

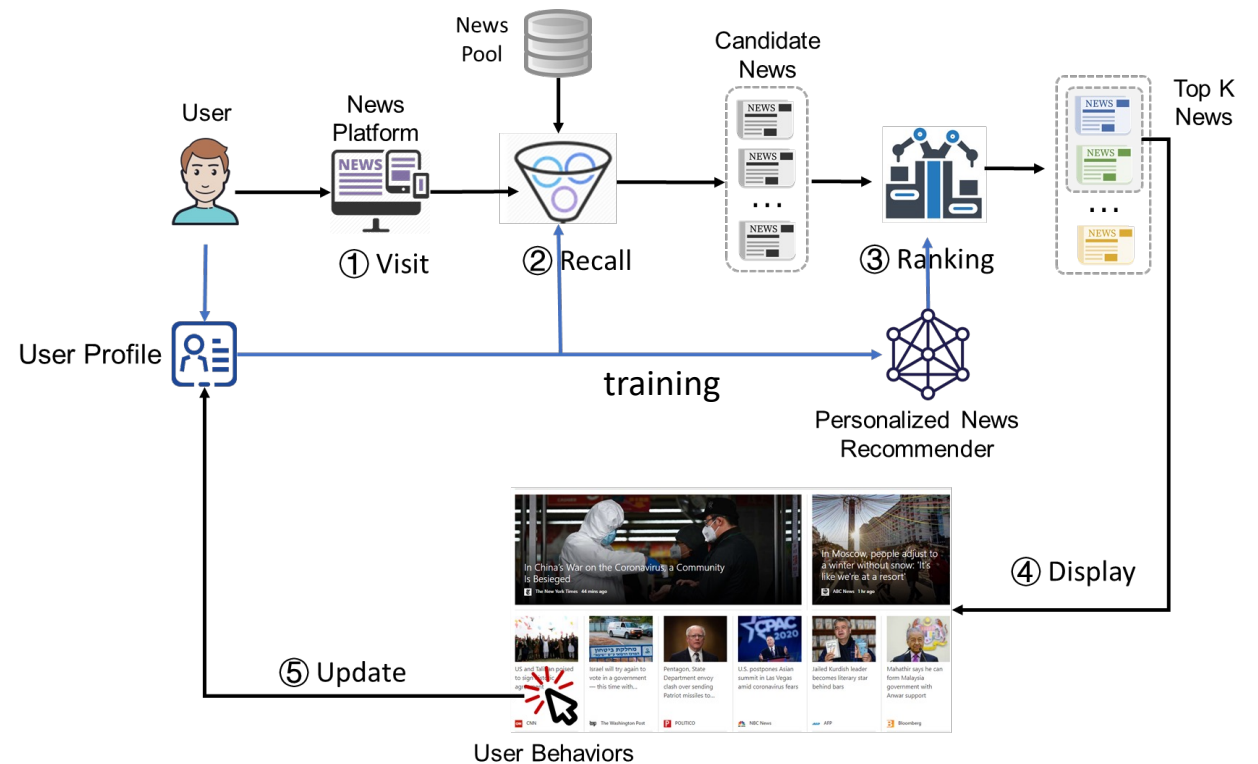
RECOMMENDED SEARCHES

# News Recommendation Methods

- Existing news recommendation methods usually learn models from user behavior data (e.g., click data)



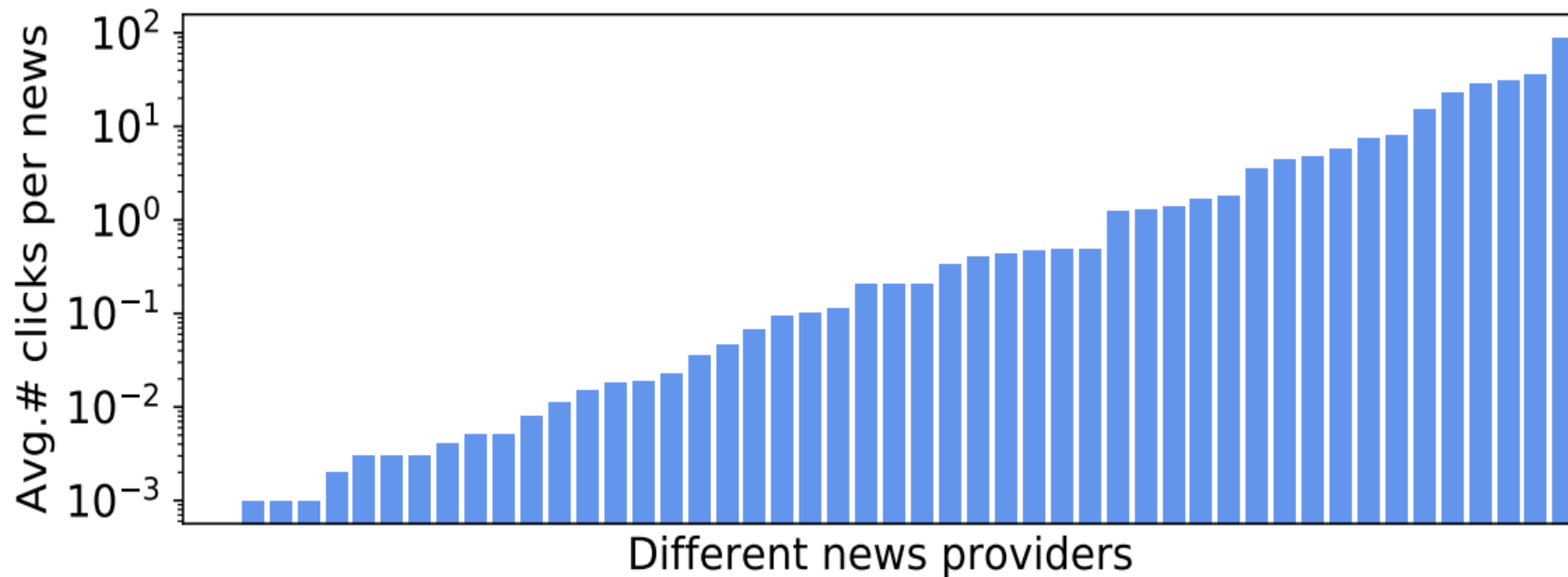
Mainstream news recommendation models.



Framework of news recommendation.

# Provider Biases in News Recommendation

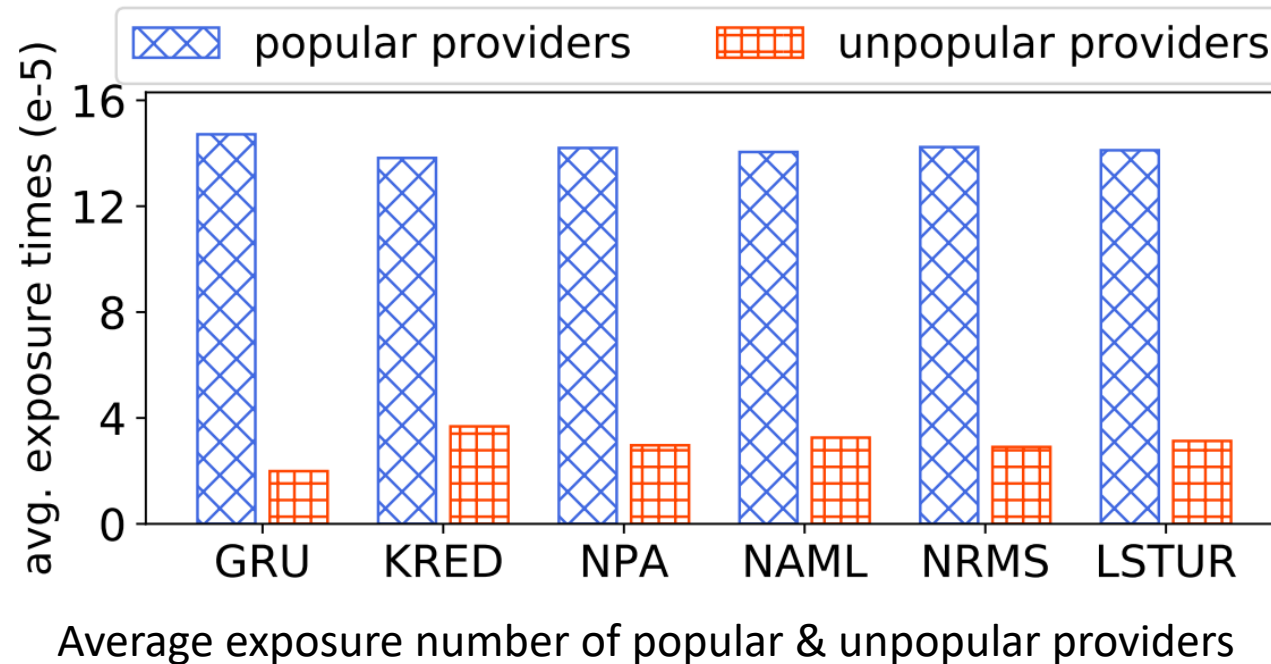
- User reading behaviors on different news providers are usually biased



Average #clicks per news from different providers on MIND.

# Provider Biases in News Recommendation

- News recommendation models can capture provider bias from data



- **Challenges**

- Most of the existing news recommendation methods do not consider provider fairness
- Biased model may hurt the diversity of news sources and perspectives

# Provider Fairness-aware Recommendation

- Many provider fairness-aware methods are usually based on re-ranking
  - e.g., OFAiR, FairRec, TFRM

$$MMR(u, v, R, S) \triangleq \arg \max_{v \in R \setminus S} [\lambda(rec(v, u) - (1 - \lambda) \sum_{v' \in S} sim(v, v'))]$$

$$xQuAD(u, v, R, S) \triangleq \arg \max_{v \in R \setminus S} [\lambda(rec(v, u) + (1 - \lambda) \max_{v' \in S} \mathbb{1}_{\vec{v} \cap \vec{v}' = \emptyset})],$$

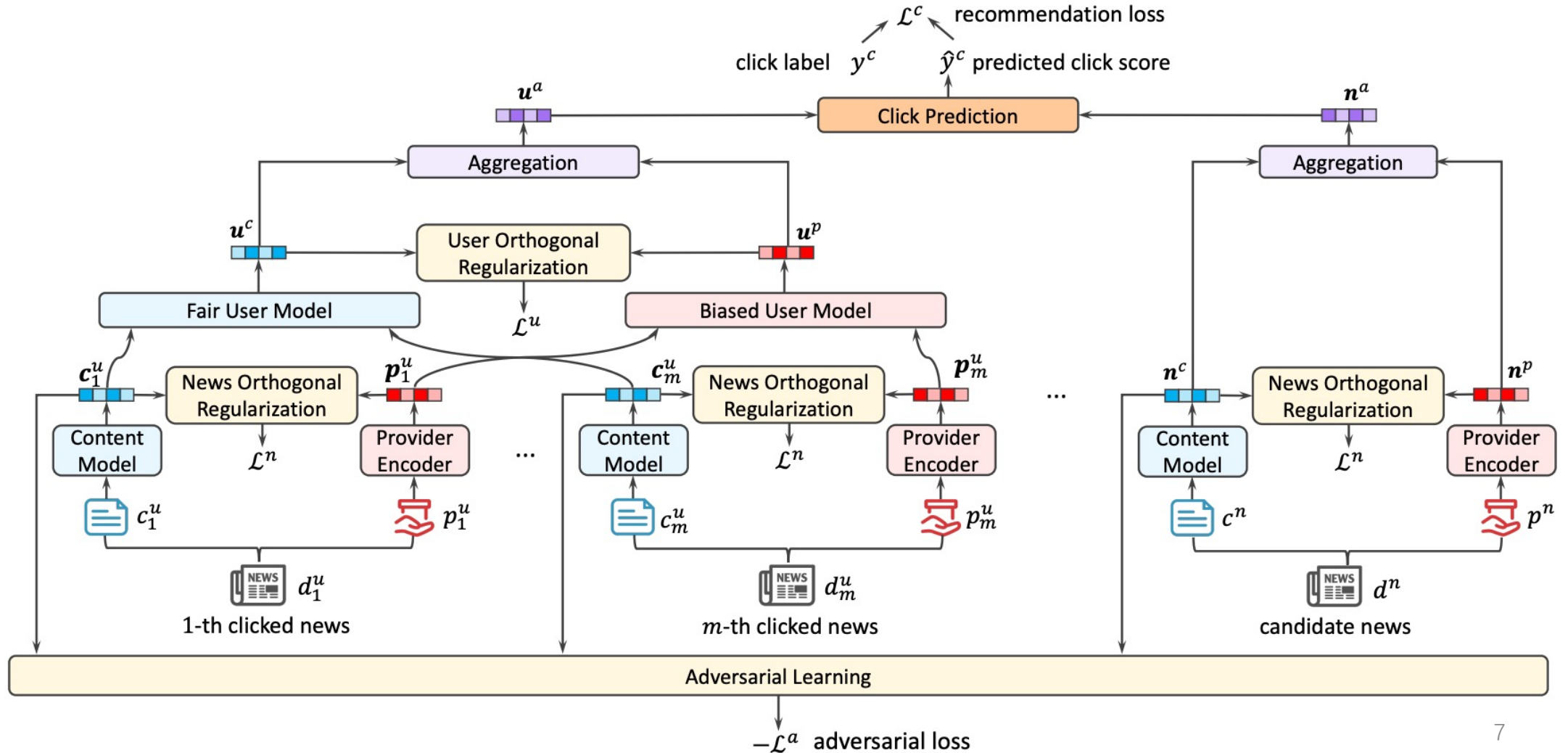
```
1: Initialize allocation  $\mathcal{B} = (B_1, \dots, B_m)$  with  $B_i \leftarrow \emptyset$  for each customer  $i \in [m]$ .
2: Initiate  $x \leftarrow m$ .
3: Initiate round  $r \leftarrow 0$ .
4: while true do
5:   Set  $r \leftarrow r + 1$ .
6:   for  $i = 1$  to  $m$  do
7:     Set  $p \in \arg \max_{p' \in F_{\sigma(i)}: (S_p \neq \emptyset)} V_{\sigma(i)}(p')$ 
8:     if  $p == \emptyset$  then
9:       Set  $x = i - 1$  only if  $i \neq 1$ .
10:      go to Step 22.
11:    end if
12:    Update  $B_{\sigma(i)} \leftarrow B_{\sigma(i)} \cup p$ .
13:    Update  $F_{\sigma(i)} \leftarrow F_{\sigma(i)} \setminus p$ .
14:    Update  $S_p \leftarrow S_p - 1$ .
15:    Update  $T \leftarrow T - 1$ .
16:    if  $T == 0$  then
17:       $x = i$ .
18:      go to Step 22.
19:    end if
20:  end for
21: end while
22: Return  $\mathcal{B} = (B_1, \dots, B_m)$ ,  $F = (F_1, \dots, F_m)$  and index  $x$ .
```

## • Challenges

- Manually designed re-ranking rules may be sup-optimal for achieving an effective trade-off between performance and fairness

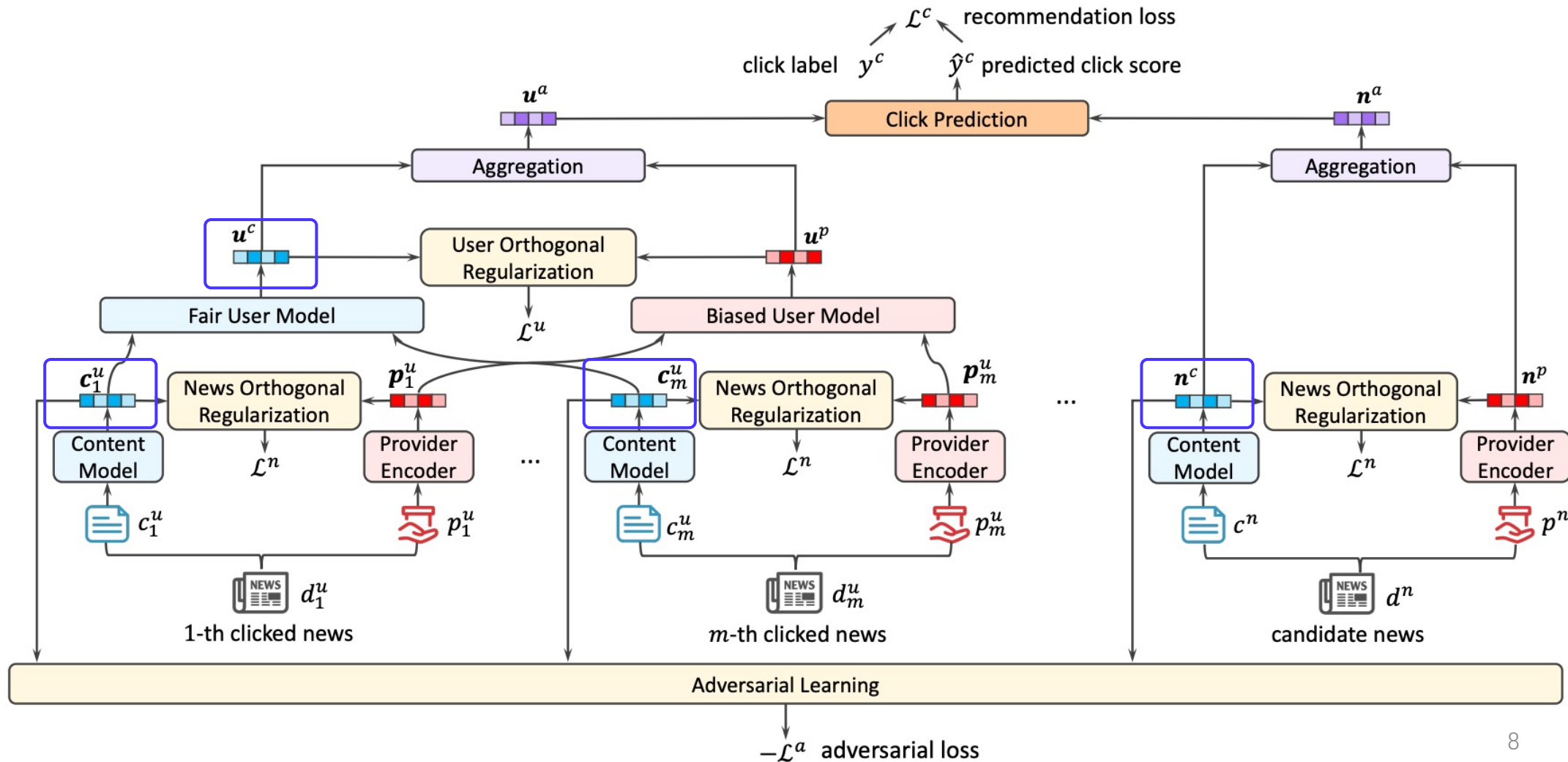
# ProFairRec: Overall Framework

- Improve fairness of recommendation models learned from biased data



# ProFairRec: Overall Framework

- Learn fair representations for news and user to achieve provider fairness

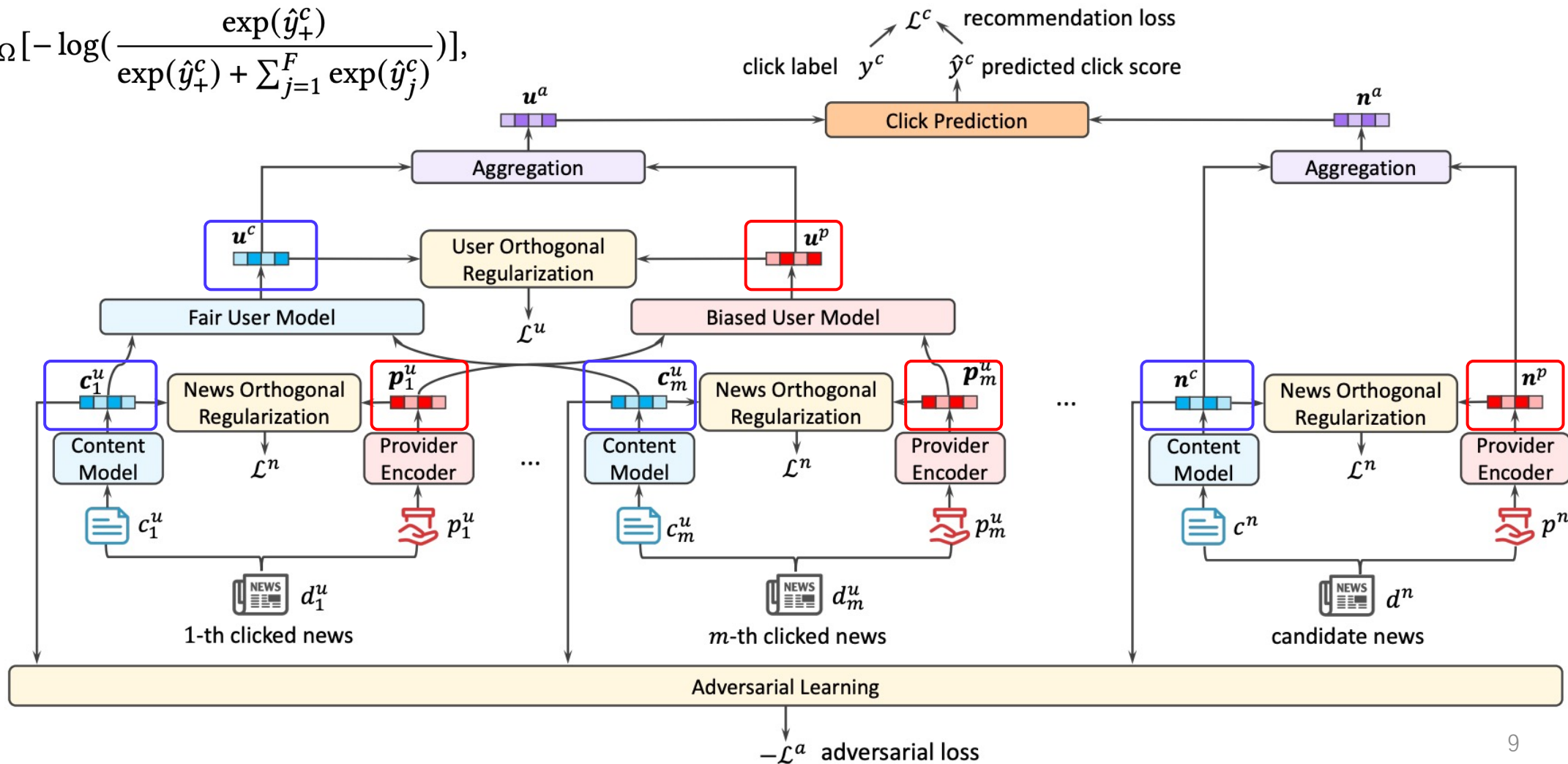




# ProFairRec: Fair Model Training

- Learning fair representations for news and user to achieve provider fairness

$$\mathcal{L}^c = \mathbb{E}_{x \sim \Omega} \left[ -\log \left( \frac{\exp(\hat{y}_+^c)}{\exp(\hat{y}_+^c) + \sum_{j=1}^F \exp(\hat{y}_j^c)} \right) \right],$$



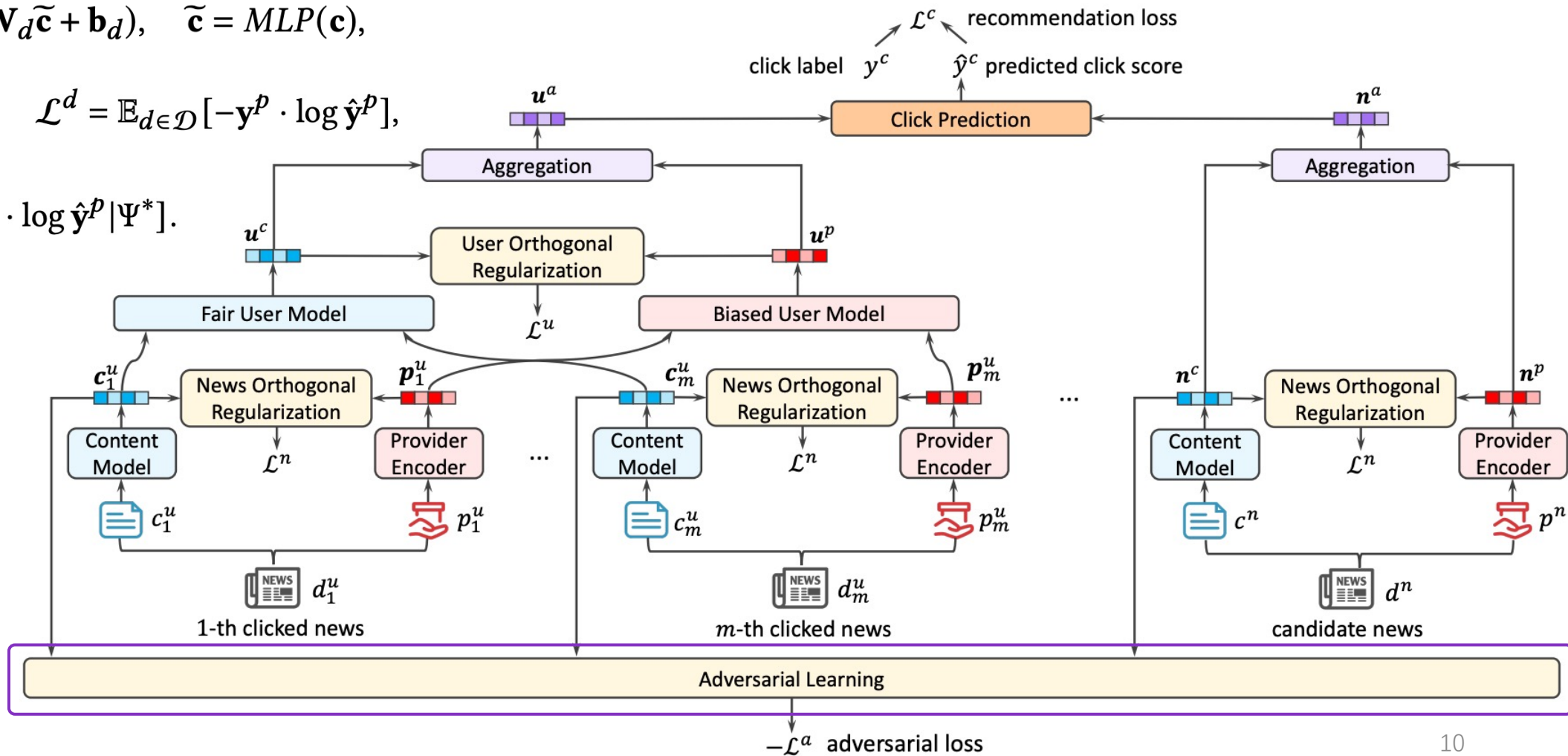
# ProFairRec: Fair Model Training

- Adversarial learning: prevent fair representations from encoding provider bias

$$\hat{y}^p = \text{softmax}(\mathbf{W}_d \tilde{\mathbf{c}} + \mathbf{b}_d), \quad \tilde{\mathbf{c}} = \text{MLP}(\mathbf{c}),$$

$$\Psi^* = \arg \min_{\Psi} \mathcal{L}^d, \quad \mathcal{L}^d = \mathbb{E}_{d \in \mathcal{D}} [-\mathbf{y}^p \cdot \log \hat{y}^p],$$

$$\mathcal{L}^a = \mathbb{E}_{d \in \mathcal{D}} [-\mathbf{y}^p \cdot \log \hat{y}^p | \Psi^*].$$



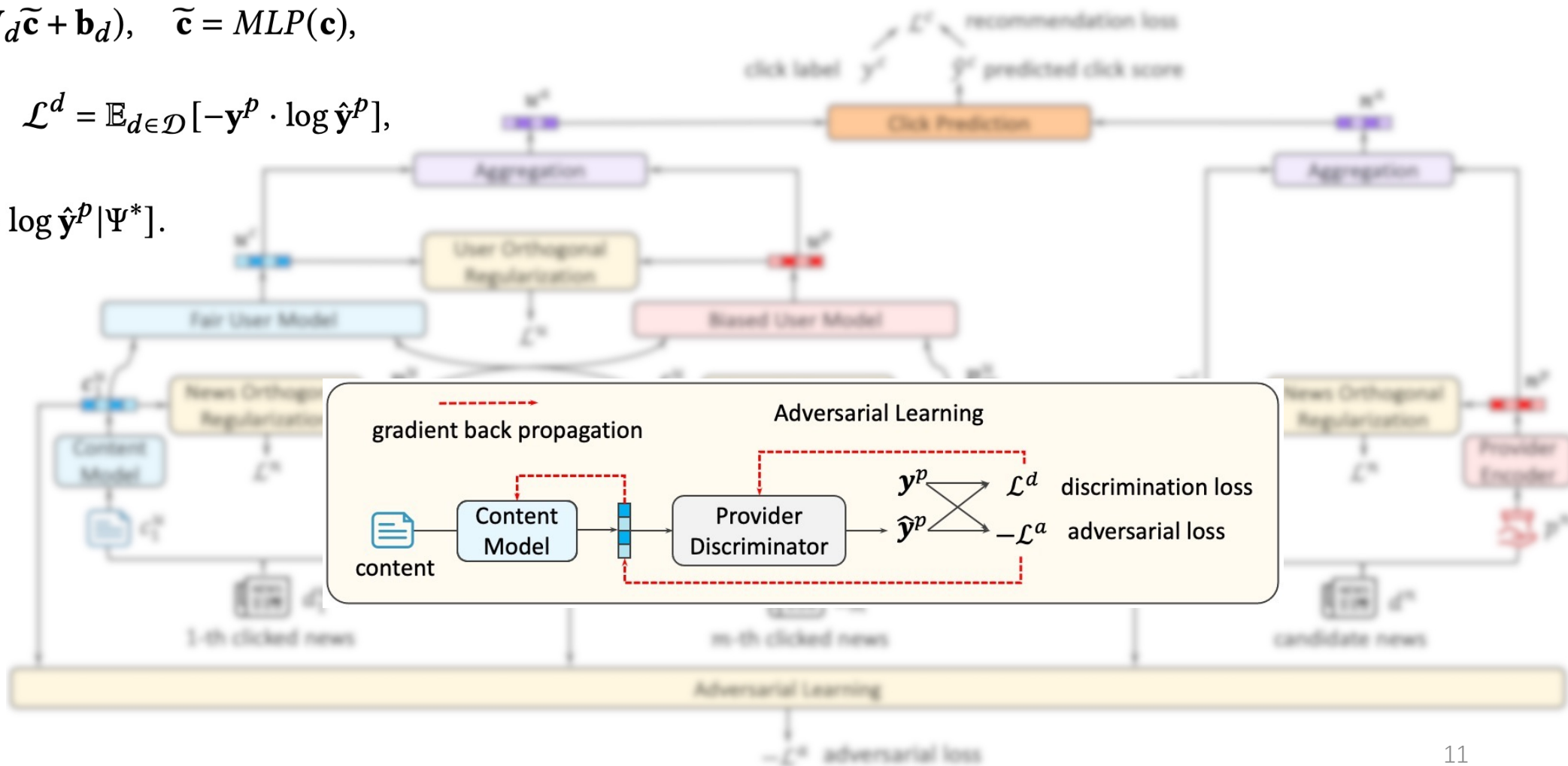
# ProFairRec: Fair Model Training

- Adversarial learning: prevent fair representations from encoding provider bias

$$\hat{y}^p = \text{softmax}(\mathbf{W}_d \tilde{\mathbf{c}} + \mathbf{b}_d), \quad \tilde{\mathbf{c}} = \text{MLP}(\mathbf{c}),$$

$$\Psi^* = \arg \min_{\Psi} \mathcal{L}^d, \quad \mathcal{L}^d = \mathbb{E}_{d \in \mathcal{D}} [-\mathbf{y}^p \cdot \log \hat{y}^p],$$

$$\mathcal{L}^a = \mathbb{E}_{d \in \mathcal{D}} [-\mathbf{y}^p \cdot \log \hat{y}^p | \Psi^*].$$

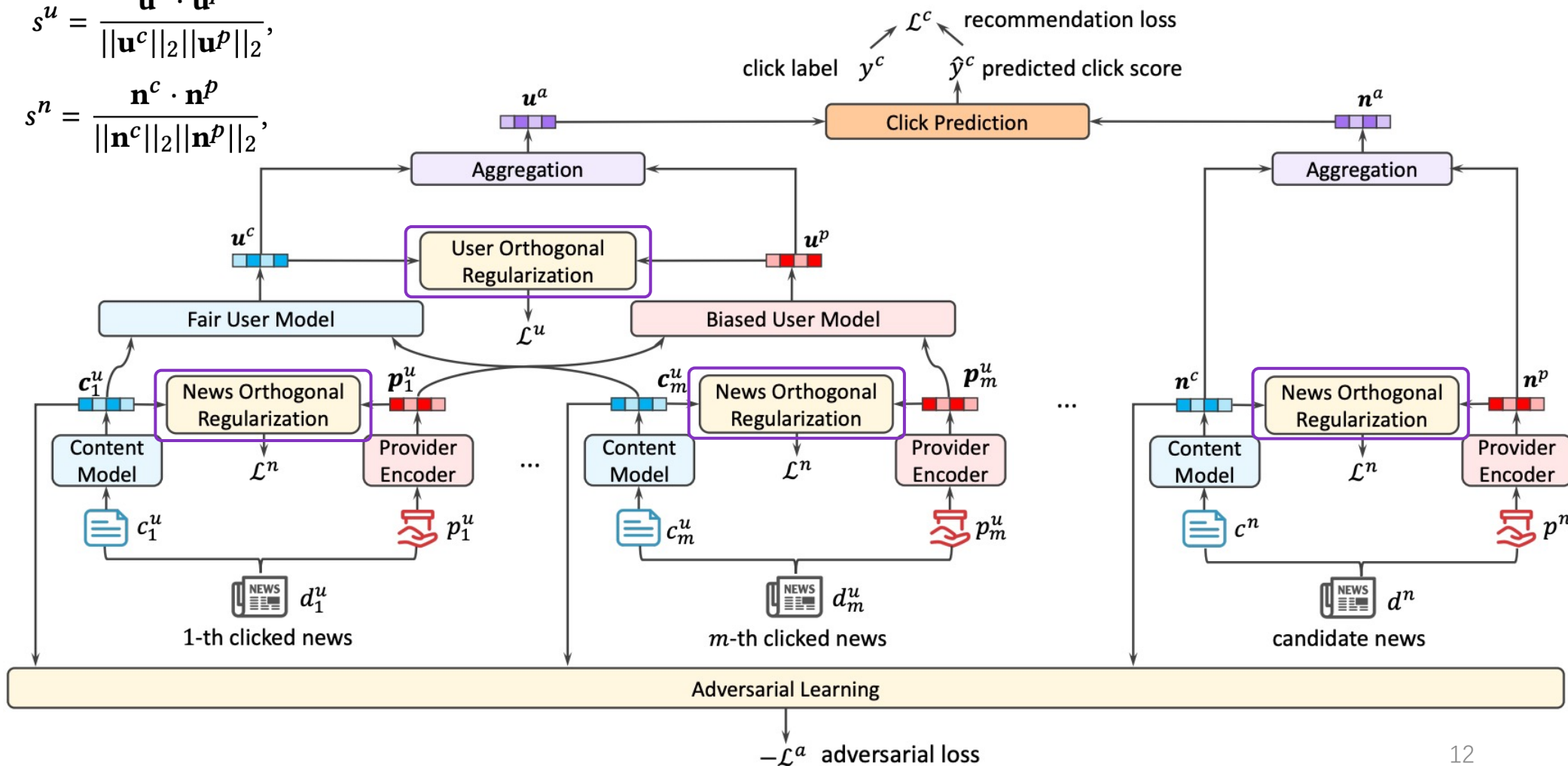


# ProFairRec: Fair Model Training

- Regularization enforces the orthogonality of fair and biased representations

$$\mathcal{L}^u = \mathbb{E}_{u \in \mathcal{U}} [ |s^u| ], \quad s^u = \frac{\mathbf{u}^c \cdot \mathbf{u}^p}{\|\mathbf{u}^c\|_2 \|\mathbf{u}^p\|_2},$$

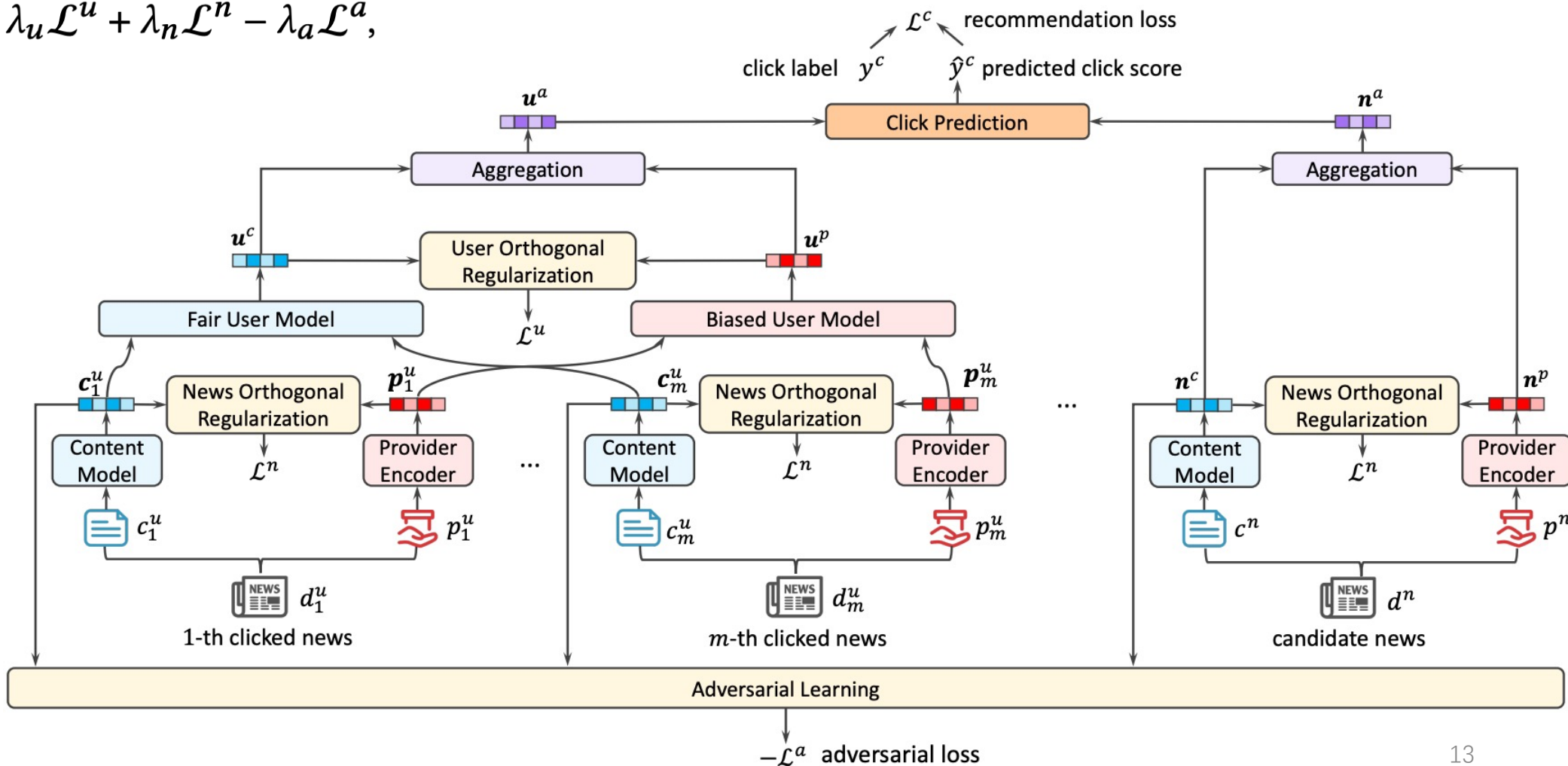
$$\mathcal{L}^n = \mathbb{E}_{d \in \mathcal{D}} [ |s^n| ], \quad s^n = \frac{\mathbf{n}^c \cdot \mathbf{n}^p}{\|\mathbf{n}^c\|_2 \|\mathbf{n}^p\|_2},$$



# ProFairRec: Fair Model Training

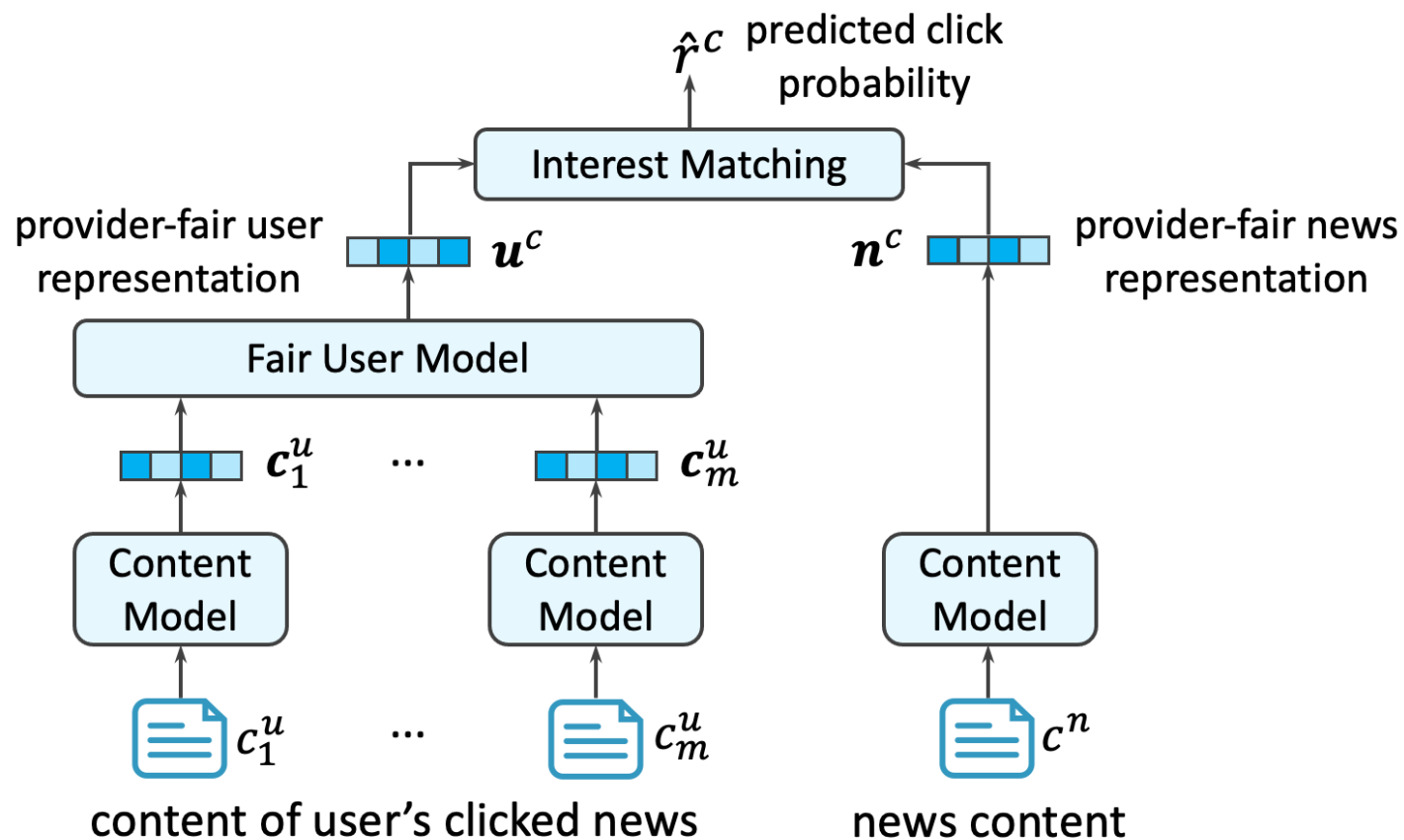
- The overall training objective for learning fair news recommendation models

$$\mathcal{L} = \lambda_c \mathcal{L}^c + \lambda_u \mathcal{L}^u + \lambda_n \mathcal{L}^n - \lambda_a \mathcal{L}^a,$$



# ProFairRec: Fair News Recommendation

- Only match provider-fair news and user representations to improve provider fairness in news recommendation



# Experimental Dataset and Settings

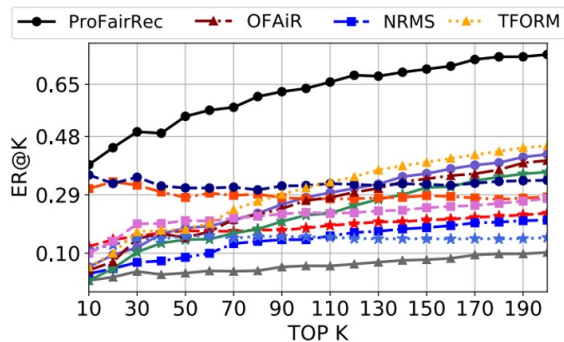
- Dataset: MIND

# News	130,379	# Providers	1,705
# Users	1,000,000	# Impressions	4,979,946
# Clicks	7,583,733	# Non-clicks	183,124,199
Avg. # words in news titles			11.78

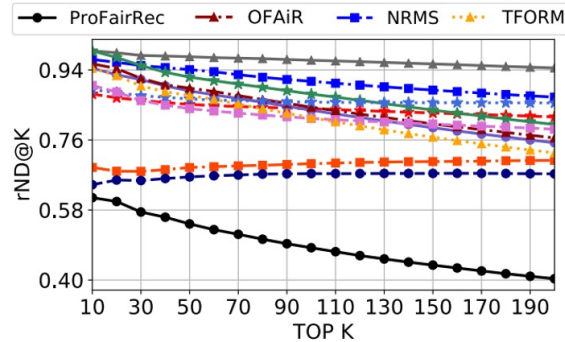
- Recommendation metric: AUC, MRR, nDCG@10
- Partition providers into two groups based on popularity
- Fairness metric: measuring the unfairness of exposure opportunities

$$ER@K = \frac{\mathbb{E}_{u \in \mathcal{U}} [|\mathcal{R}_K^u \cap \mathcal{D}^+| / |\mathcal{D}^+|]}{\mathbb{E}_{u \in \mathcal{U}} [|\mathcal{R}_K^u \cap \mathcal{D}^-| / |\mathcal{D}^-|]}, \quad rND@K = \frac{1}{Z} \mathbb{E}_{u \in \mathcal{U}} \left[ \sum_{n=10,20,\dots}^K \frac{1}{\log_2 n} \left| \frac{|\mathcal{R}_n^u \cap \mathcal{D}^+|}{n} - \frac{|\mathcal{D}^+|}{|\mathcal{D}|} \right| \right]$$

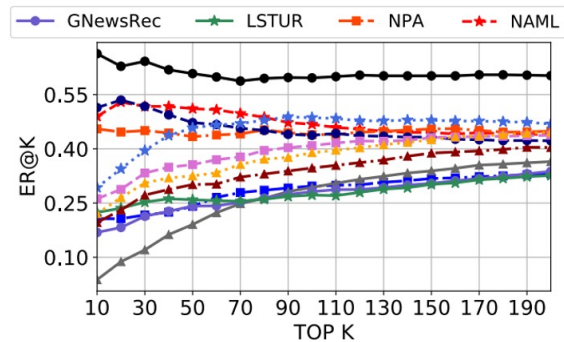
# Performance and Fairness



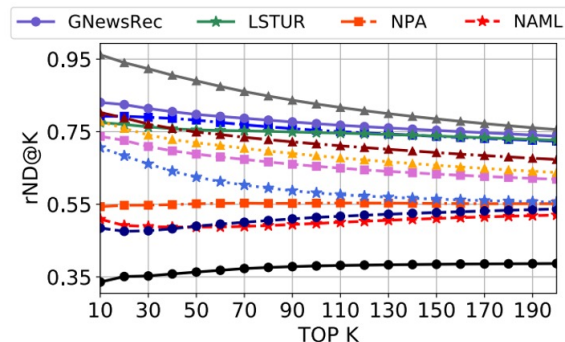
(1.1)  $r = 10\%$



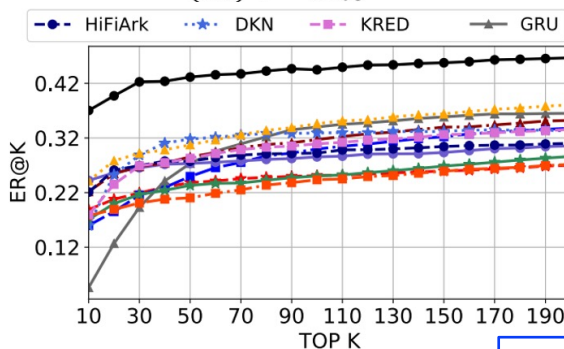
(2.1)  $r = 10\%$



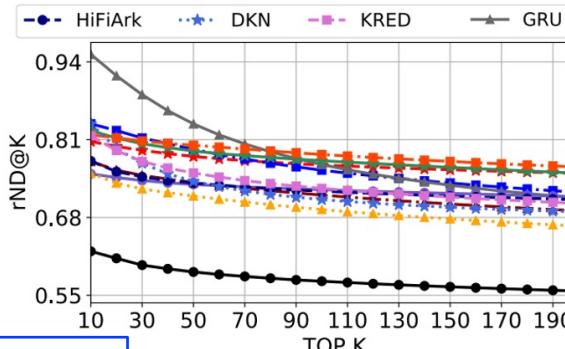
(1.2)  $r = 30\%$



(2.2)  $r = 30\%$



(1.3)  $r = 50\%$



(2.3)  $r = 50\%$

Fairness

	AUC	MRR	nDCG@10
GRU	66.50±0.04	32.06±0.04	40.45±0.02
DKN	66.70±0.15	32.41±0.11	40.88±0.12
HiFiArk	67.49±0.19	33.04±0.15	41.57±0.15
NAML	67.22±0.20	33.01±0.10	41.54±0.12
NPA	67.13±0.07	32.90±0.07	41.45±0.07
KRED	67.55±0.11	33.27±0.05	41.83±0.05
GNewsRec	68.41±0.10	33.59±0.10	42.24±0.11
LSTUR	68.35±0.10	33.48±0.10	42.16±0.09
NRMS	68.08±0.13	33.43±0.09	42.07±0.10
OFair	67.46±0.17	33.08±0.14	41.62±0.17
TFORM	67.53±0.16	33.12±0.13	41.63±0.16
ProFairRec	67.64±0.10	33.08±0.05	41.67±0.07

Performance



# Generalization of ProFairRec

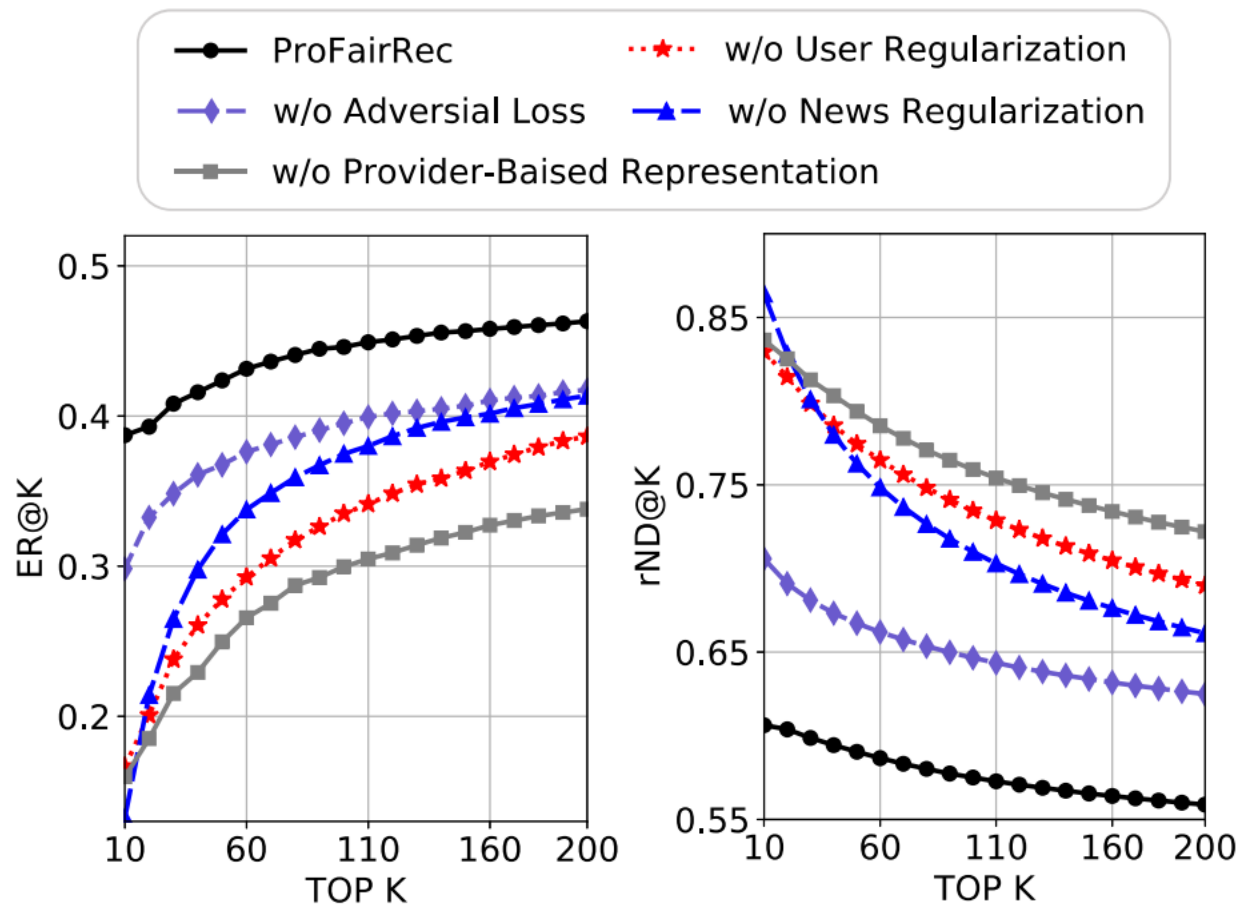
	ER@10	ER@30	ER@50	rND@10	rND@30	rND@50
NAML	0.1646±0.0080	0.2099±0.0107	0.2236±0.0102	0.8316±0.0082	0.8130±0.0077	0.8022±0.0077
+ProFairRec	<b>0.2644±0.0329</b>	<b>0.2721±0.0229</b>	<b>0.2822±0.0255</b>	<b>0.7303±0.0332</b>	<b>0.7271±0.0261</b>	<b>0.7232±0.0230</b>
KRED	0.1518±0.0399	0.2271±0.0349	0.2572±0.0321	0.8447±0.0407	0.8138±0.0379	0.7940±0.0356
+ProFairRec	<b>0.2223±0.0777</b>	<b>0.2627±0.0649</b>	<b>0.2918±0.0596</b>	<b>0.7730±0.0788</b>	<b>0.7565±0.0734</b>	<b>0.7425±0.0699</b>
NPA	0.1503±0.0358	0.1894±0.0203	0.2086±0.0220	0.8462±0.0364	0.8301±0.0298	0.8189±0.0276
+ProFairRec	<b>0.2444±0.0382</b>	<b>0.2499±0.0361</b>	<b>0.2584±0.0332</b>	<b>0.7506±0.0388</b>	<b>0.7483±0.0373</b>	<b>0.7452±0.0358</b>
NRMS	0.1237±0.0243	0.1991±0.0213	0.2378±0.0195	0.8734±0.0248	0.8423±0.0225	0.8202±0.0212
+ProFairRec	<b>0.3644±0.0847</b>	<b>0.3941±0.0689</b>	<b>0.4156±0.0658</b>	<b>0.6295±0.0854</b>	<b>0.6174±0.0779</b>	<b>0.6071±0.0738</b>
LSTUR	0.1583±0.0206	0.2086±0.0292	0.2254±0.0326	0.8381±0.0210	0.8174±0.0232	0.8050±0.0257
+ProFairRec	<b>0.3765±0.1067</b>	<b>0.4491±0.0791</b>	<b>0.4865±0.0663</b>	<b>0.6174±0.1070</b>	<b>0.5879±0.0952</b>	<b>0.5668±0.0869</b>

Fairness

Performance

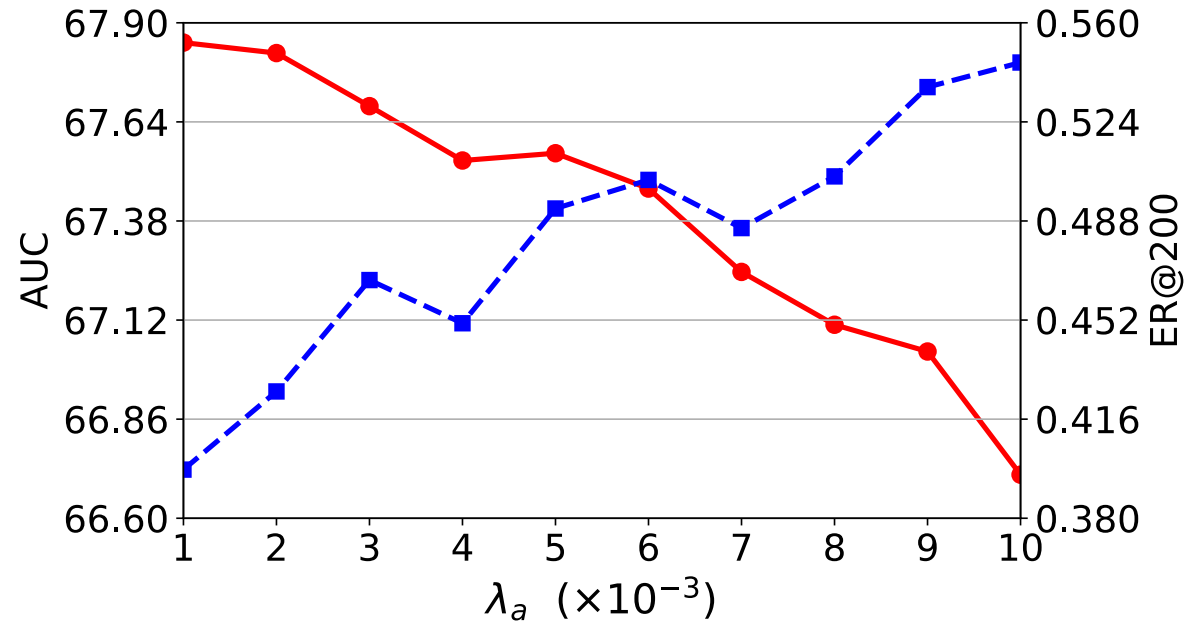
	AUC	MRR	nDCG@10
NAML	67.22±0.20	33.01±0.10	41.54±0.12
+ProFairRec	67.13±0.08	32.86±0.09	41.38±0.09
KRED	67.55±0.11	33.27±0.05	41.83±0.05
+ProFairRec	67.51±0.19	33.11±0.16	41.71±0.16
NPA	67.13±0.07	32.90±0.07	41.45±0.07
+ProFairRec	67.13±0.03	32.86±0.05	41.39±0.05
NRMS	68.08±0.13	33.43±0.09	42.07±0.10
+ProFairRec	67.64±0.10	33.08±0.05	41.67±0.07
LSTUR	68.35±0.10	33.48±0.10	42.16±0.09
+ProFairRec	67.46±0.11	32.83±0.13	41.35±0.13

# Ablation Study on ProFairRec



Ablation study on ProFairRec.

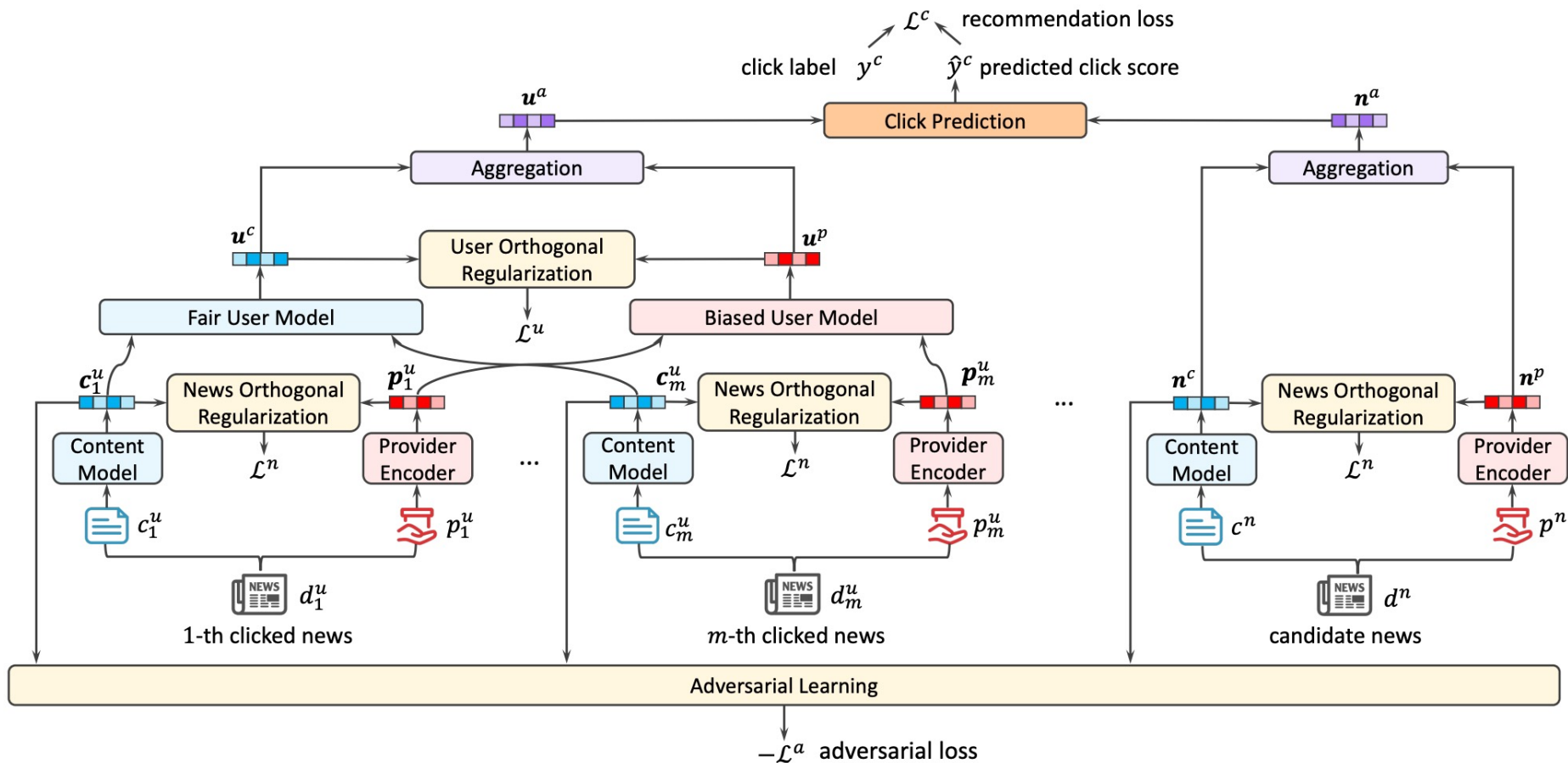
# Influence of Adversarial Learning



Trade-off between recommendation performance and fairness

# Conclusion

- We are the first to study the provider fairness problem in news recommendation
- We propose a unified framework to learn provider-fair news representations and user representations from biased data.



*Thank  
you*



**Tao Qi**

**taoqi.qt@gmail.com**